

5-14-04

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EXPRESS MAIL LABEL NO. EU746732085US

Attorney Docket No. 64243.000006

Attorney Customer No. 27682

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re US Patent Application of:

Merrill Brooks Smith et al.

Application No.: 10/758,429

Filing Date: January 16, 2004

Title: SYSTEM AND METHOD FOR  
CONDUCTING A REFUND  
TRANSACTION FOR A PIN-  
ACTIVATED ACCOUNT

Group Art Unit: Unassigned

Examiner: Unassigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Enclosed is a Supplemental Information Disclosure Statement, accompanying form PTO-1449, copy of the International Search Report, and copies of references in connection with the above-identified patent application.

- ☒ [ X ] No additional fee for submission of an IDS is required.
- ☐ [ ] A Check in the amount of \$180.00 as set forth in 37 C.F.R. §1.17(p) is required and attached hereto.
- ☐ [ ] A certification under 37 C.F.R. §1.97(e) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. §1.97(e), a petition requesting consideration of the information disclosure statement, and the petition fee of \$130.00 as set forth in 37 C.F.R. §1.17(i) are also enclosed.
- ☐ [ ] Charge \$\_\_\_\_\_ to Deposit Account No. 08-3436 for the fee due.

[ X ] An Express Mail Certificate Under 37 C.F.R. §1.10.

[ X ] A self-addressed stamped return postcard.

The Commissioner is hereby authorized to charge any appropriate fees that may be required by this paper to Deposit Account No. 08-3436.

Respectfully submitted,

By: 

David E. Baker  
Registration No. 42,285

**HUNTON & WILLIAMS**  
Riverfront Plaza, East Tower  
951 E. Byrd St.  
Richmond VA 23219  
(804) 788-8762 telephone  
(804) 343-4598 facsimile

Date: May 13, 2004



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Alexandria, VA 22313-1450

Sir:


Attached hereto is a duly completed PTO-1449 Form listing an International Search Report which has a mailing date of March 3, 2004 in connection with PCT Patent Application No. PCT/US02/30281. Also listed on the PTO-1449 are the references cited in the International Search Report. Copies of these references are also included with this submission. It is requested that the PTO-1449 form be executed by the Examiner and returned to the undersigned attorney.

Should any questions arise by this submission, please contact the undersigned attorney. It is believed that an official is not required for this filing. However, should the Commissioner deem a fee necessary, he is authorized to charge Deposit Account No. 08-3436 for such a fee.

Respectfully submitted,

Date: May 13, 2004

**HUNTON & WILLIAMS**  
Riverfront Plaza, East Tower  
951 East Byrd Street  
Richmond, VA 23219-4074  
(804) 788-8762 direct dial  
(804) 343-4598 direct fax

By:   
David E. Baker  
Registration No. 42,285



Sheet 1 of 1

**Form PTO-1449** U.S. Department of Commerce  
(Rev. 8-83) Patent and Trademark Office

Atty. Docket No.: 64243.000006

Serial No.: 10/758,429

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant: Merrill Brooks Smith et al.

Filing Date: January 16, 2004

Group: Unassigned

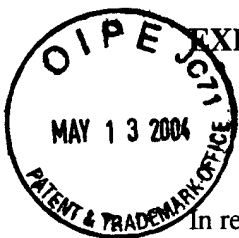
### U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
	1	2001/0042784	11/22/2001	Fite et al.			
	2	RE 37,122	4/3/2001	Levine et al.			
	3	5,930,363	7/27/1999	Stanford et al.			
	4	6,473,500	10/29/2002	Risafi et al.			
	5						
	6						
	7						
	8						
	9						
	10						
	11						
	12						
	13						

### OTHER PATENT DOCUMENTS

		Description of Document
	1	WIPO, International Search Report dated March 3, 2004, International Patent Application No. PCT/US02/30281, 5 pages
Examiner:		Date Considered:

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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**CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. §1.10**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service  
with sufficient postage as Express Mail in an envelope addressed to:

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on May 13, 2004  
Date

Signature

Gail W. O'Brien

Typed or printed name of person signing Certificate

Documents being submitted with this Certificate of Express Mailing include:

- Supplemental Information Disclosure Statement Transmittal Letter
- Supplemental Information Disclosure Statement
- PTO-1449 Form
- Copy of International Search Report
- Copies of References
- Self-Addressed Stamped Return Postcard

HUNTON & WILLIAMS  
Riverfront Plaza, East Tower  
951 East Byrd Street  
Richmond, VA 23219  
(804) 788-8200 (Telephone)  
(804) 788-8218 (Facsimile)